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RC-011120

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Wong and Franklin

Title: **Expansion of RAID Subsystems Using Spare Space With Immediate Access to New Space**

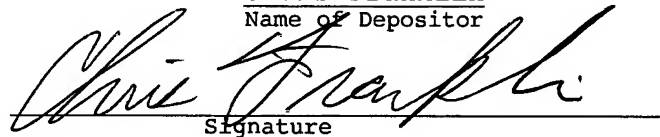
J1002 U.S. PTO
10/033997
12/19/01

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I hereby certify that this correspondence is being deposited with the United States Postal Service as EXPRESS MAIL in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231, on:

Chris Franklin
Name of Depositor


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* * *

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Pursuant to 37 C.F.R. §1.56(a), Applicant hereby cites the following documents (copies enclosed) listed on the attached copy of Form PTO-1449.

This Information Disclosure Statement is filed in accordance with the paragraph of 37 CFR §1.97 checked below:

X 1.97(b) This Information Disclosure Statement is filed:

- (1) Within three months of the filing date of a national application; OR
- (2) Within three months of the date of entry of the national stage of an international application; OR
- (3) Before the mailing of a first Office Action on the merits.

No fee or certification is required.

 1.97(c) This Information Disclosure Statement is filed after the period specified in paragraph (b) above, but before the mailing date of either:

- (1) A Final Action under 37 CFR 1.113; OR
- (2) A Notice of Allowance under 37 CFR 1.311;

AND is accompanied by either:
(check one)

_____ the Certification under 37 CFR
1.97(e) as set out below; OR

_____ the fee of \$240.00 under 37 CFR
1.17(p).

___ 1.97(d) This Information Disclosure Statement is filed
after the mailing date of either:

(1) a Final action under 37 CFR 1.113; OR

(2) A Notice of Allowance under 37 CFR 1.311;

BUT before payment of the Issue Fee, AND is accompanied
by:

(1) the Certification under 37 CFR 1.97(e) as
set out below; AND

(2) Petition is hereby made under 37 CFR
1.97(d) for consideration of this
Information Disclosure Statement; AND,

(3) Authorization to charge the petition fee
of \$130.00 as set out in 37 CFR 1.17(i).

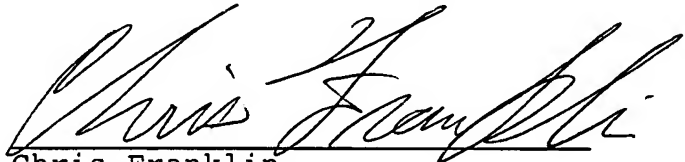
If this Information Disclosure Statement is being filed
under 37 CFR 1.97(c) or 1.97(d), the undersigned Attorney hereby
certifies that:

— each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of this Statement;

or

— no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, or to the knowledge of the undersigned Attorney after making reasonable enquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing date of this Statement.


By:

A handwritten signature in cursive script, appearing to read "Chris Franklin", written over a horizontal line.

Chris Franklin
President, RAIDCore, Inc.

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Enclosures

Form PTO-1449 (modified 2/91)	U.S. DEPT OF COMMERCE Patent and Trademark Office	Attorney Docket Number: RC-011120	Serial Number:
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)		Applicant: Wong and Franklin	
		Filing date: Herewith	Group art area:
		11002 U.S. PTO 10/03/997  12/19/01	

{Fill in table below with patent documents, and erase this line}

U.S. PATENT DOCUMENTS

Examiner Initial	Patent number	Date	Name	Class	Sub-class	Filing date if appropriate
	5,666,512	Sep. 9, 1997	Nelson et al.	711/114		
	5,809,224	Sep. 15, 1998	Schultz et al.	395/182.05		
	5,875,457	Feb. 23, 1998	Shalit	711/114		
	6,000,010	Dec. 7, 1999	Legg	711/114		
	6,035,373	Mar. 7, 2000	Iwata	711/114		
	5,758,118	May 26, 1998	Choy et al.	395/441		

FOREIGN PATENT DOCUMENTS

	Document number	Date	Country	Class	Subclass	Translation	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner:	Date Considered:
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP .609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	